

# Lead Requirements Worksheet

North Carolina Housing Finance Agency

## Essential Single-Family Rehabilitation Program (ESFR)

*DIRECTIONS: This worksheet must be completed and placed in all unit files assisted with ESFR funds. Completion of this worksheet demonstrates Members have reviewed HUD LBP compliance requirements. This worksheet does not cover RRP requirements which must be coordinated with HUD requirements.*

Street Address: \_\_\_\_\_ DU# \_\_\_\_\_

City: \_\_\_\_\_ State: NC Zip: \_\_\_\_\_

### Part 1: Regulation Eligibility Statements

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**Check all that apply:**

Property is receiving Federal funds.

Unit was built prior to 1978.

Note: Continue with the Exemption Statements below. If the home was built after January 1, 1978, check the first exemption and file this form in the case file.

### Part 2: Full Exemptions from All Requirements of 24 CFR Part 35 (The Lead Regulation)

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If any of the exemptions are applicable, the property is exempt from the requirements of 24 CFR Part 35 per the regulatory citation. Note: ONLY eligible exemptions for ESFR are listed.

**Check all that apply:**

The property was constructed after January 1, 1978. [35.115(a)(1)]

A paint inspection conducted in accordance with 35.1320(a) established that the property is free of lead-based paint. [35.115(a)(4)]

The date of the original paint inspection was \_\_\_\_\_. An optional paint inspection conducted on \_\_\_\_\_ confirmed this prior finding.

ALL lead-based paint in the property has been identified and removed, and clearance has been achieved. [35.115(a)(5)]

ALL rehab **excludes** disturbing any painted surfaces. [35.115(a)(8)]

Emergency repairs to the property are being performed to safeguard against imminent danger to human life, health or safety, or to protect the property from further structural damage due to natural disaster, fire or structural collapse. The exemption applies only to repairs necessary to respond to the emergency. [35.115(a)(9)]

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### Part 3: Hazard Reduction Requirements - Partial Waivers

If any of the partial waivers are applicable, the grantee and/or homeowner may waive certain requirements as described below.

**A) De minimis [35.1350(d)(1) & (2)]**

The amount of painted surface that is being disturbed during construction is below "de minimis" levels. Safe work practices and clearance are not required in that work area.

\_\_\_\_\_

Less than 9 SF of bare soil?

\_\_\_\_\_

Less than 20 square feet on an exterior surface

\_\_\_\_\_

Less than 2 square feet in the following rooms:

\_\_\_\_\_, \_\_\_\_\_, \_\_\_\_\_,

**B) Elderly Relocation Waiver [Interpretive Guidance Question J-24]**

\_\_\_\_\_

The home is only occupied by an elderly person(s). Relocation of elderly homeowners is not required if complete disclosure of the nature of the work is provided and informed consent is obtained prior to rehabilitation.

**C) Historic Abatement Waiver [35.115(13)]**

\_\_\_\_\_

The home that is subject to abatement requirements is listed or eligible for listing on the National Register of Historic Places, or contributes to a National Register Historic District. The State Historic Preservation Office may request that interim controls be implemented rather than abatement.

**D) No Exterior Work [35.930(d)(3)]**

\_\_\_\_\_

No exterior paint is to be disturbed during renovation. (Only exterior stabilization required even in abatement jobs.)

**E) No Children [35.1330(d)(1)]**

\_\_\_\_\_

All of the residents are over the age of 6. (No chewable or play area treatments required.)

**F) No Bite Marks [35.1330(d)(1)]**

\_\_\_\_\_

All chewable surfaces are free of bite marks made by children under 6. (No chewable surface treatment required)

**G) No Dust Hazards [35.1330(c)(1)(i)]**

\_\_\_\_\_

The closest horizontal surface dust wipe is below the clearance threshold. (No friction and impact surface treatments required in the following work areas:

### Part 4: Level of Rehabilitation Assistance [35.915]

A. Amount of all federal\* funding for home \$ \_\_\_\_\_

\*Federal funding includes all soft and hard costs from all federal sources, including but not limited to, ESFR.

B. Amount of all rehabilitation **Hard\* Costs** (not \$ \_\_\_\_\_

including any soft costs or costs of lead hazard evaluation, reduction, clearance and training)

\*Item A - all soft costs - cost of LBP stabilization/reduction + cost of non-federal funds used for hard costs = B

C. Level of Assistance (lower of A or B) \$ \_\_\_\_\_

Approach Required (Based on answer to C, above)

\_\_\_\_\_ \$5,000 - \$25,000

Requires Risk Assessment and Interim Control of Lead Hazards  
Note - If abatement work was subtracted from the hard cost to get the unit below \$25,000, the subtracted abatement items must be performed by a NC licensed abatement contractor and crew.

\_\_\_\_\_ \$25,001 and above

Requires Risk Assessment and Abating Lead Hazards